

TITLE	VERSION
Assessment Decisions Appeals Policy 2025-2026	6

APPROVAL BODY	DATE	REVIEW DATE
Board of Governors	August 2025	August 2026

LEAD PERSON	FE Senior Quality Lead(s)
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### **POLICY STATEMENT**

VLUK recognises that there may, from time to time, be disputes regarding assessment decisions. VLUK believes that all appeals against assessment decisions are considered in an equitable, open and expedient manner and that they be resolved as early as possible.

### **BACKGROUND**

VLUK is a National Independent Training Provider, delivering education in the 16-19 FE sector. It is part of The Oxford Virtual Education Group. VLUK is led by a Chief Executive Officer, accountable to a Board of Governors and Group Chief Executive Officer and supported by an Executive team and a Senior Leadership Team (SLT). Accountable to the SLT is a layer of middle management located throughout the country. The Middle Leadership team is responsible for tutors and enrichment staff.

## **PURPOSE**

The purpose of the Assessment Decisions Appeals Policy is to support learners and staff to appropriately deal with any disputes around assessment decisions with the aim of these being resolved effectively. It aims to provide learners with a clear framework within which they can appeal against assessment decisions.

### SCOPE

The Assessment Decisions Appeals Policy applies to all staff and learners at VLUK alongside Governors, Executive & Senior Leadership Teams, Middle Leaders, Tutors and Support Staff and confirms VLUK's approach to Assessment Decisions and Appeals. The policy only applies to summative assessments. In all cases, the Awarding Organisation policy must be consulted and adhered to along with the main principles outlined within this policy.

## **DEFINITIONS**

**Summative assessments** - those that contribute directly to achieving the learner's qualification and/or contribute to the grades assessed for the qualification.

# **RESPONSIBILITIES**

Board of Governors	The Governing Board or a delegated committee review and challenge the effectiveness of the internal controls and the management of risk detailed in this policy.
Chief Executive Officer	Overall responsibility and oversight of this policy, ensuring KPIs are met and that resources are used effectively and appropriately.

Executive and Senior Leadership Teams	Responsible for holding the Lead Person to account with regard to the suitability of the appeals policy, implementation of this policy and the benefits to the organisation and staff.
Lead Person	Responsible for the implementation and delivery of this policy, ensuring the policy aligns with regulatory requirements and best practice.
All Line Managers	Responsible for ensuring all line managed employees are aware of and follow this policy.
All Teaching Staff	Responsible for ensuring all learners are aware of the policy and adhere to it.
All Employees and Volunteers	Responsible for understanding and upholding the principles of this policy, in line with professional standards.

### **POLICY - FRAMEWORK**

VLUK allows all learners the right of appeal against decisions relating to Internal Assessment of evidence provided against learning outcomes and grading criteria on all programmes where such assessment is required.

## LEARNER APPEALS AGAINST ASSESSMENT OUTCOMES

This framework outlines the stages involved when a learner feels that a grading decision about their work is unfair and they wish to make an appeal to challenge it. The framework covers work marked/assessed by VLUK tutors which form part of an overall assessment grade.

It is designed to ensure that learners are treated fairly. There are people at VLUK who will help and support learners as shown below. A parent/guardian or a friend/representative is welcome to accompany a learner to any meetings at any stage of the following process.

## Stage 1:

In the first instance, talk to the tutor directly involved with the assessment decision. Often concerns about grading can be resolved easily when the issues are talked through.

## Stage 2:

If Stage 1 is not successful or not possible, contact the VLUK Head of Campus. They will attempt to resolve the concern. This may involve the Head of Campus or an independent person re-marking your work. At this stage, details of the appeal will be recorded by the Head of Campus for monitoring purposes.

## Stage 3:

If you are not satisfied with the outcome of Stage 2 you should complete the stage 3 form (located at the end of this policy) and submit to the appointed Senior Quality Lead to discuss your appeal. The Senior Quality Lead will consider the case and may decide to seek further independent advice or may choose to hold a panel to discuss the appeal.

### Stage 4:

If you are not satisfied with the outcome of your appeal or feel that the correct procedure has not been followed, you should make a final appeal in writing to the Director of Operations (DoO) within 5 days of the outcome from Stage 3, stating clearly the grounds of the appeal.

The DoO may ask the learner, who may be accompanied by a parent/guardian/friend/representative, to attend in person to hear the decision. The result will be confirmed in writing to the learner within 5 working days of the decision being finalised.

## Stage 5:

A final right to appeal exists through The Awarding Organisation for the qualification. All Awarding bodies expect most enquiries or appeals from individual learners to be resolved within the centre and will only consider an individual learner's enquiry or appeal after the centre's internal enquiries or appeals procedures have been fully

utilised. Where a learner remains dissatisfied following internal enquiries or appeals policy, they are advised to make an enquiry or appeal, in writing to the awarding body for the qualification being completed.

For Pearson, this is the Pearson Vocational Quality Standards team. The team can be contacted at <a href="mailto:vocationalqualitystandards@pearson.com">vocationalqualitystandards@pearson.com</a>. Evidence of the centre's appeal framework having been utilised must be provided. Further details of the Pearson Appeals Policy can be read <a href="mailto:Here">Here</a>

For RSL, this is the RSL Vocational Quality Standards team. The team can be contacted at vocational@rslawards.com. Evidence of the centre's appeal framework having been utilised must be provided.

## **POLICY - TIMELINES**

In normal circumstances we would expect any appeal to begin within two weeks of receipt of their work from the tutor.

All appeals will be dealt with speedily and in confidence. At Stage 1, appeals will be dealt with within 3 working days, at Stage 2 within 5 working days and at Stage 3, within 10 working days. The outcome of any appeal reaching Stages 2 and 3 will be communicated to learners in writing. **See below for the Stage 2 and 3 Form**.

### **COMPLAINTS**

Complaints about assessment decisions and appeals at VLUK should be made to the Senior Quality Leads Danny.homes@vluk.org and Marley.Lodge@vluk.org in the first instance.

### REFERENCES

Quality of Assessment and Verification Policy Assessment Malpractice Policy Appendix 1 - Application for an Appeal

### **SIGNATORIES**

Chief Executive Officer 15th August 2025

Chair of Governors 15th August 2025

## Appendix 1 - Application for an Appeal

Campus Name:

Learner name and number:

Tutor Name:

Head of Campus Name:

# STAGE 2 / STAGE 3 APPEAL (Delete as appropriate)

The details provided on this document will form the basis of the case being put forward by the appellant. When completing the details, please pay particular attention to the grounds for appeal and summarise your reasons for appealing. If you require clarification or assistance, please contact the appropriate awarding body. Please indicate the type of appeal or review being initiated Enquiries about Results Maladministration/Malpractice Access Arrangements or Special Consideration Review of other administrative decisions. If this is an appeal against the outcome of an enquiry about results or an access arrangement/special consideration decision, please indicate below whether this is an application for a Stage 2 or a Stage 3 Appeal. Please note that learners/Tutors cannot proceed to a Stage 2/3 Appeal unless they have already initiated a Stage 1 Appeal and this appeal has been completed with the tutor.

- 1. Examination series:
- 2. Title and level of specification and unit/component number (if applicable):
- 3. If this is an appeal against the outcome of an enquiry about results please indicate the grade being appealed
- 4. Grounds of appeal: Please state the grounds for this appeal and attach all supporting documentation. If the learner attends a hearing, there will be opportunities for further points to be raised during the hearing, but substantive new evidence should not be introduced on the day of the hearing. It is in the interest of all parties that all grounds of appeal are clearly stated in the application. (Note: Appeals must be against awarding body procedures)
- 5. Names and positions of people attending a Stage 2 or Stage 3 Appeal

Signed
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Date:

Send to the Senior Quality Lead (<a href="mailto:marley.lodge@vluk.org">marley.lodge@vluk.org</a> for South or <a href="mailto:danny.holmes@vluk.org">danny.holmes@vluk.org</a> for North) who will arrange an appeals meeting with the Head of Campus.